

An American flag is shown waving in the wind against a clear blue sky. In the foreground, the tops of several snow-capped mountains are visible, suggesting a high-altitude or winter setting. The flag is the central focus, with its stars and stripes clearly visible.

# Accessing the Urban Areas Security Initiative Nonprofit Security Grant Program

Fiscal Year 2010

**U.S. Department of Homeland Security  
Jewish Community Relations Council of New York  
National Council of Young Israel**

Thursday, January 7, 2010



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### **Disclaimer**

**The information reflects the best efforts by the JCRC staff to provide answers to common questions regarding the FY2010 DHS Nonprofit Security Grant Program. Grant applicants are urged to revisit the JCRC website ([www.jcrcny.org/securitygrants](http://www.jcrcny.org/securitygrants)) often since it is updated regularly as new information becomes available.**

**Due to New York State limits on “procurement lobbying” the New York State Office of Homeland Security staff and representatives of the NY Urban Area Working Group, who will evaluate applications, are unavailable for advice or consultation. Applicants should carefully read the RFP and supporting documents and consult their own legal counsel or other advisers during the preparation of their application. The following is being provided for educational and informational purposes only, without representation, guarantee or warranty of any kind. The JCRC is not responsible for any injury, loss or damages to persons or property arising from the use or misuse of this information.**

# Who is eligible I?

## FY 2010 Tier I Urban Areas

State/Territory	Urban Area	State/Territory	Urban Area
California	Bay Area	New Jersey	Jersey City/Newark Area
	Los Angeles/Long Beach Area	New York	New York City Area
District of Columbia	National Capital Region	Pennsylvania	Philadelphia Area
Illinois	Chicago Area	Texas	Dallas/Fort Worth/Arlington Area
Massachusetts	Boston Area		Houston Area

# Who is eligible II?

FY 2010 Tier II Urban Areas			
State/Territory	Urban Area	State/Territory	Urban Area
Arizona	Phoenix Area	Nebraska	Omaha Area
	Tucson Area	Nevada	Las Vegas Area
California	Anaheim/Santa Ana Area	New York	Albany Area
	Bakersfield Area		Buffalo Area
	Oxnard Area		Rochester Area
	Riverside Area		Syracuse Area
	Sacramento Area	North Carolina	Charlotte Area
	San Diego Area	Ohio	Cincinnati Area
Colorado	Denver Area		Cleveland Area
Connecticut	Bridgeport Area		Columbus Area
	Hartford Area		Toledo Area
Florida	Fort Lauderdale Area	Oklahoma	Oklahoma City Area
	Jacksonville Area		Tulsa Area
	Miami Area	Oregon	Portland Area
	Orlando Area	Pennsylvania	Pittsburgh Area
	Tampa Area	Puerto Rico	San Juan Area
Georgia	Atlanta Area	Rhode Island	Providence Area
Hawaii	Honolulu Area	Tennessee	Memphis Area
Indiana	Indianapolis Area		Nashville Area
Kentucky	Louisville Area	Texas	Austin Area
Louisiana	Baton Rouge Area		El Paso Area
	New Orleans Area		San Antonio Area
Maryland	Baltimore Area	Utah	Salt Lake City Area
Michigan	Detroit Area	Virginia	Norfolk Area
Minnesota	Twin Cities Area		Richmond Area
Missouri	Kansas City Area	Washington	Seattle Area
	St. Louis Area	Wisconsin	Milwaukee Area

Jan. 7, 2010

# Timing (for NY applicants)

- The grant is available in New York State on **January 4, 2010.**
- You must click on the “submit” button in the New York E-Grant system by Friday, **March 16, 2010.**
- DHS will announce awardees on or about **August 30, 2010.**



# Grant Amounts/Match



- **A total of \$19 million will be distributed nationwide.**
- **This year the maximum grant is \$75,000.**
- **Organizations need not contribute to project costs under \$75,000. They must contribute any costs over that amount. (The “match” is optional.)**
- **Previous successful grantees are eligible for 2010 grants.**

# Timing

- You must check with your own state agency to check on forms, requirements, details and availability (for links to other states see: <http://goo.gl/sGn6>).
  - California (<http://goo.gl/fgko>) February 12.
  - DC/MD/VA (<http://goo.gl/cWAs>) Feb 19.
  - Florida (Tina Quick at (850) 413-9974/[Tina.Quick@em.myflorida.com](mailto:Tina.Quick@em.myflorida.com)) February 19.
  - Illinois (<http://goo.gl/mSWa>) February 19.
  - New Jersey (<http://goo.gl/7WqM>) March 19.
  - New York (<http://goo.gl/DZBK>) March 16.
  - Pennsylvania ([sthoffman@state.pa.us](mailto:sthoffman@state.pa.us)/(717) 651-7073) March 1.
- DHS will announce awardees on or about **September 30, 2010.**

# What can you buy with the grant?

- **Equipment is for specific “target-hardening” activities:**
  1. **Physical security enhancements (e.g. alarm systems, CCTV systems, bullet-resistant doors or windows) AEL Category 14;**
  2. **Inspection and screening systems (e.g. walk-through magnetometers and conveyor-belt x-ray systems used to screen personnel and packages for hazardous materials/devices) AEL Category 15; or**
  3. **Security-related training.**
- **The grant cannot be used for overhead, staff or equipment other than that on the list.**



*Note: you must list the AEL category of selected equipment on the Investment Justification.*

NGY/JCFC/MSGP/Workshop

# Chances of success

- **In FY 2008, \$15,000,000 was available through NSGP. DHS received 621 applications, of which 232 eligible nonprofit organizations received funding.**
- **In FY 2009, \$15,000,000 was available through NSGP. DHS received 635 applications, of which 227 eligible nonprofit organizations received funding.**
- **Last year, almost half of the NY applicants were successful.**
- **Over the past 4 rounds, over a quarter of the successful applicants were from New York.**



# Key to success



- 1. Learn how to read and follow directions.**
- 2. See Rule #1.**

# Space squeeze



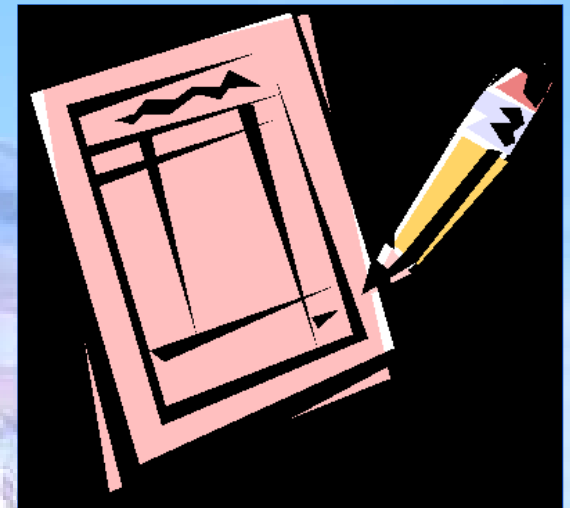
- **Each of the ten sections sets forth exact space and formatting specifications. Use the New York State supplied Investment Justification template to stay within the stated restrictions.**
- **There are often several questions within each section.**
  - **Answers should be concise, to the point and responsive to the questions.**
  - **Use the space allotted strategically.**
  - **No question should be left blank.**
  - **Complete answers will be rated higher than partial answers. Partial answers will be rated higher than no answer.**

# Evaluating your application

The New York State evaluation team checks if your Investment Justification articulates an understanding of the your risk, including threat, vulnerability, and potential consequences of an attack; and can be verified.

The state review panel will also rank an application according to two factors:

- Need.** the relative need of the applicant compared to the other applicants; and
- Impact.** The potential impact of the applicant's requested investment on achieving maximum prevention and/or protection results at minimal cost.



# Evaluation criteria

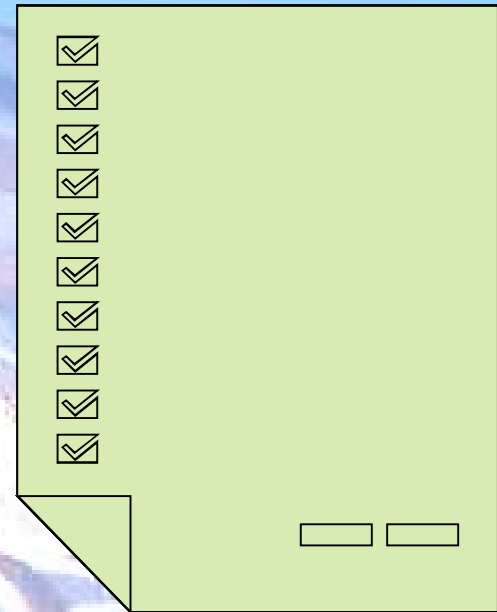
## Relate the evaluation criteria to the Investment Justification

- Identification and substantiation of prior threats or attacks (from within or outside the U.S.) by a terrorist organization, network, or cell against the applicant.
- Symbolic value of the site(s) as a highly recognized national or historical institution(s) that renders the site as possible target of terrorism.
- Role of the applicant nonprofit organization in responding to terrorist attacks.
- Findings from previously conducted threat and/or vulnerability assessments.
- Integration of nonprofit preparedness with broader State and local preparedness efforts to include coordination with the Citizen Corps Council.
- Complete, feasible Investment Justifications that address an identified risk, including threat and vulnerability.

# Investment Justification

<http://www.jcrcny.org/securitygrants#ij>

- NY applicants should use the form available at Form available at [http://www.security.state.ny.us/Grant-Guidance/grantee\\_forms\\_NFP.htm](http://www.security.state.ny.us/Grant-Guidance/grantee_forms_NFP.htm).
- This year the Investment Justification has ten sections. You are strongly urged to use the template provided by the New York Office of Homeland Security.



# Investment Justification II

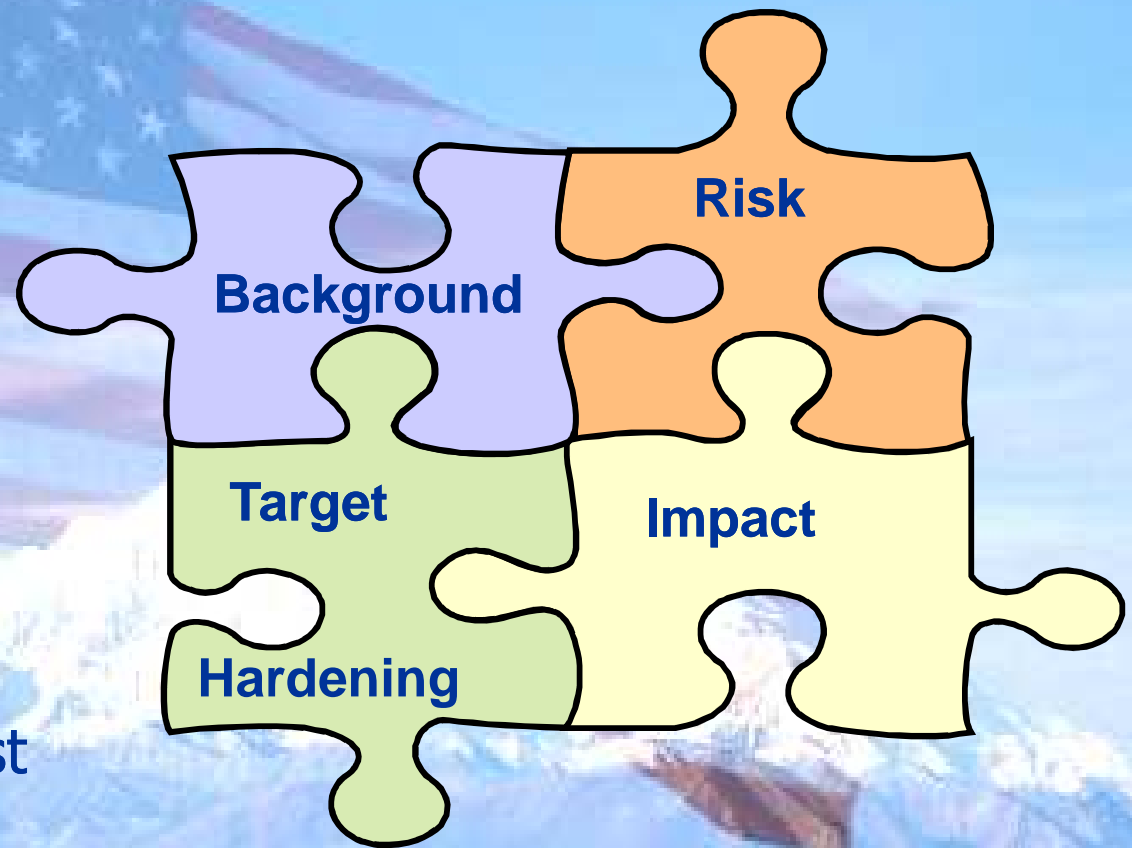
The IJ essentially asks for the same information as in previous years. Some of the information is pure narrative and not scored: applicant information, investment heading, baseline, milestones, project management and optional cost share.

1. Applicant Information
2. Background
3. Investment Heading
4. Baseline
5. Risk/Threat/Vulnerabilities /Potential Consequences
6. Target Hardening
7. Milestones
8. Project Management
9. Impact
10. Optional Cost Share



# Investment Justification II

Applicants who can clearly interrelate their answers to the background, risk, target hardening and impact sections -- showing that they understand their risks, threats and vulnerabilities and will address them through their purchases (target hardening) using the grant -- have the highest likelihood of success.



# IJ Section I: Applicant Information

## **Identify the following:**

- Name of the Organization
- Organization Type (e.g., Medical, Religious, Educational, Community Center, Museum, Other)
- 501(c)(3) Number (Use your EIN)
- Dun and Bradstreet Number (must be current and valid)
- FY 2010 Urban Area (New York or select from list)
- FY 2010 UASI NSGP Federal Funding Request
- FY 2010 UASI NSGP Total Project Cost
- Any Current Contract with DHS ( Other than NSGP. Yes/No – if yes, please describe)
- Membership establishment with local Citizen Corps Council (Yes/No)

**(800 Character max not including spaces)**

# IJ Section II: Background Information

Describe your nonprofit organization, including:

- 1 Membership and community served,
- 2 involvement in their local Citizen Corps Council,
- 3 symbolic value of the site(s) as a highly recognized national or historical institution that renders the site as a possible target of terrorism,
- 5 any role in responding to or recovering from terrorist attacks

**(a maximum of 1,800 characters, not including spaces)**



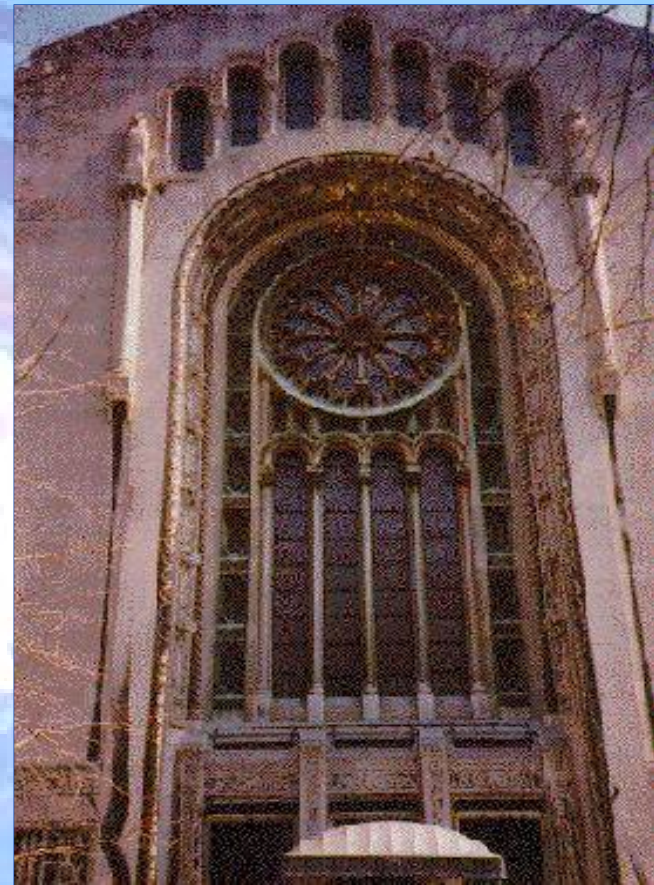
# IJ Section II: Background: membership & community served



- Services offered; and
- populations served.

# IJ Section II: Background: symbolic value

- Not every site has symbolic value
- Symbolic value can result from a prominent building, location, leadership, organizational stature, membership or history.



## IJ Section II: **Background: involvement in their local Citizen Corps Council/Any role in responding to or recovering from terrorist attacks**

<http://www.jcrcny.org/securitygrants#role>

- Contact the Citizen Corps representative in your county. NY contact information is available at: <http://www.jcrcny.org/securitygrants#cc>
- The NYS Office of Homeland Security will require you to record the name of the person you spoke with, date and time and what, if any, disposition occurred.
- As a suggestion, if you send your request by email, you might print out a copy of your email and attach it to your E-Grants application to show a good faith effort.



## IJ Section II: Background: involvement in their local Citizen Corps Council/Any role in responding to or recovering from terrorist attacks

- **These questions in the *Investment Justification* look to determine your “connectiveness” with local law enforcement, emergency management and Citizen Corps Council member agencies.**
- **Your efforts to protect your institution should integrate with broader efforts. For example, you can work with your Office of Emergency Management or the Red Cross to teach your constituencies about preparedness or to recruit shelter volunteers. Organizations showing existing integration are more likely to be successful with their application.**

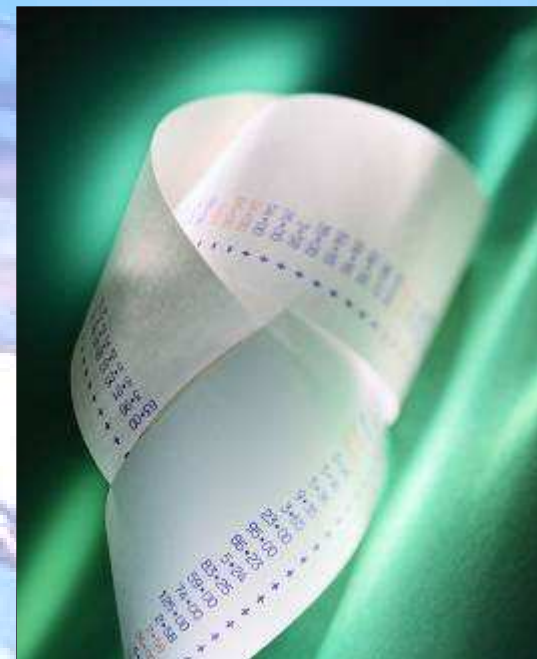


## III. Investment Heading

## IV. Baseline

**III. Investment Heading.** Investment name (e.g., MyOrganization's Target Hardening Project), total FY 2010 NSGP Federal Funding Requested, Investment Phase – Ongoing or New (n.b. in New York all the applications should be for new projects.) (500 Char. Max not including spaces)

**IV. Baseline.** Previous Request Name and Funding: If the nonprofit organization has received DHS or NSGP funding in the past, provide the funding the amount and the investment type. (700 Char. Max not including spaces)



# V. Risk/Threats/Vulnerabilities/Potential Consequences

<http://www.jcrcny.org/securitygrants#threats>

Risk: DHS defines risk as the product of three principal variables: *Threat, Vulnerability, and Consequence.* describe the findings from previously conducted risk assessments, including A) Threats, B) Vulnerabilities, and C) Potential Consequences of an attack.



(2,200 Char. Max not including spaces)

# III. Risk assessments

<http://www.jcrcny.org/securitygrants#risk>



- **A risk assessment identifies hazards and risks and makes recommendations to enhance deterrence, detection, denial and damage limitation.**
- **Describe findings from previously conducted risk assessment, including:**
  - **Threats;**
  - **Vulnerabilities; and**
  - **Potential consequences.**
- **Risk assessments can and should reflect gaps in equipment, training and procedures.**

# Threats

A threat (hazard) is any indication, circumstance, or event with the potential to cause loss of, or damage to an asset. Some common terrorist-based threats are:

- **Improvised explosive device**
- **Chemical, biological & radiological agents**
- **Arson**
- **Armed attack**
- **Unauthorized entry**



# Vulnerability

Address high-probability/high consequence threats

	THREAT/HAZARD									
	Terrorist Act		Armed Attack		Unauthorized Entry		Low Level CBR Attack		Cyber-terrorism	
	Stationary Vehicle Bomb		Attack by Small Arms		Forced Entry at Night to Damage School Property		Hydrogen Sulfide "Stink Bomb"		Electronic Attack to Destroy or Alter School Academic Records	
ASSET	Vulnerability Rating		Vulnerability Rating		Vulnerability Rating		Vulnerability Rating		Vulnerability Rating	
Students	VH	10	H	9	VL	1	MH	7	VL	1
Faculty	VH	10	H	9	VL	1	MH	7	ML	4
Staff	VH	10	H	9	ML	4	MH	7	ML	4
Designated Shelter (safe haven)	F	9	L	3	ML	4	L	3	VL	1
Main School Building	VH	10	ML	4	M	5	L	3	VL	1
Teaching Functions	VH	10	VH	10	ML	4	H	9	L	3

# VI. Target Hardening: Equipment



- Funding can be used for acquisition and installation of security equipment on real property (including buildings and improvements) owned or leased by the nonprofit organization, specifically in prevention of and/or in protection against the risk of a terrorist attack.
- Grants can pay for the following:
  - Physical Security Enhancement Equipment (AEL Category 14)
  - Inspection and Screening Systems (AEL Category 15)
  - The description must identify the respective AEL category for ***all*** requested equipment.

(2,200 Character max, not including spaces)

NCYI-JCRC NSGP Workshop

# VI. Target Hardening: Training

**Training.** Allowable training topics are limited to the protection of critical infrastructure key resources, including physical and cyber security, target hardening, and terrorism awareness/employee preparedness. Training conducted using FY 2010 NSGP funds must address a specific threat and/or vulnerability.



# VII. Milestones



- **Milestones:** Provide description and associated key activities that lead to the milestone event over the FY 2010 NSGP period of performance. Start dates should reflect the start of the associated key activities and end dates should reflect when the *milestone event will occur*. Milestones collectively present a clear sequence of events that will allow the project to reach its objectives on schedule.

(1,000 character max, not including spaces)

# VIII. Project Management

## **Describe the project management, including:**

- Who will manage the project (the roles and responsibilities of the management team, governance structures, and subject matter expertise required to manage the installation)?
- Description of any challenges to the effective implementation of this project.
- Coordination of the project with State and local homeland security partners.

(2,000 Character Max not including spaces)



# IX. Impact



Impact: What measurable outputs and outcomes will indicate that this Investment is successful at the end of the FY 2009 NSGP period of performance? What specific target capability is this investment working to achieve? (n.b., Your target hardening investments should counter or mitigate specific threats and/or vulnerabilities.) (1,500 Char. Max not including spaces)

The background of the slide is a photograph of the United States flag waving in the wind against a clear blue sky. The flag is the central focus, with its stars and stripes clearly visible. The text is overlaid on this image.

# X. Cost sharing

In FY 2010, grantees may provide an optional cost share; however, an applicant's willingness to contribute an optional cost share will not impact application scores and thus, allocation.

If the nonprofit organization chooses to participate in the optional cost share, please provide the funding source and amount.

# NY E-Grant system

**New York State applicants must use the E-Grant system to submit their applications.**

- **Get the application & related forms at:**

**[http://www.security.state.ny.us/Grant-guidance/grantee\\_forms\\_NFP.htm](http://www.security.state.ny.us/Grant-guidance/grantee_forms_NFP.htm)**

- **Apply for an E-Grant account and password at:**

**[http://www.security.state.ny.us/Grant-Guidance/grantforms/OHS\\_EGrants\\_Registration\\_NFP.doc](http://www.security.state.ny.us/Grant-Guidance/grantforms/OHS_EGrants_Registration_NFP.doc)**

- **View the E-Grant tutorial at:**

**[http://www.security.state.ny.us/Grant-Guidance/grantforms/NFP/OHS\\_E-Grants\\_tutorial\\_NFP.pdf](http://www.security.state.ny.us/Grant-Guidance/grantforms/NFP/OHS_E-Grants_tutorial_NFP.pdf)**

- **Access E-Grant at:**

**<https://grants.security.state.ny.us/AccessNotice.jsp>**



The screenshot shows the login interface for the New York State Office of Homeland Security E-Grants System. At the top, there is a banner with the office's logo and the text "Office of Homeland Security". Below the banner, it reads "NEW YORK STATE OFFICE OF HOMELAND SECURITY" and "E-GRANTS SYSTEM". A welcome message says "Welcome to the New York State Office of Homeland Security." The main section is titled "Please enter your login information." and contains two input fields: "Login Name:" and "Password:". There is a "Submit" button to the right of the password field and a "Change Password" button below it. At the bottom, a note states "New users, please [click here](#) to set up and validate a new account."

# Scoring I

- **Background.** Did the applicant provide a description of their nonprofit organization to include: ▪ Membership and community served ▪ Involvement in their local Citizen Corps Council ▪ Symbolic value of the site(s) as a highly recognized national or historical institution that renders the site as a possible terrorism target ▪ Any role in responding to or recovering from terrorist attacks *(up to 2 points)*
- **Risk/Threat/Vulnerability/Consequences.** In considering threat, how well did the applicant address findings from previously conducted risk assessments, police reports, and/or insurance claims? In considering vulnerabilities, how well did the applicant address the organization's susceptibility to destruction, incapacitation, or exploitation by a terrorist attack? In considering potential consequences, how well did the applicant address potential negative effects on the organization's asset, system, and/or network if damaged, destroyed, or disrupted by a terrorist attack? *(up to 12 points)*

# Scoring II

- **Target Hardening.** Did the applicant describe how the proposed target hardening activity will mitigate the identified risk(s)? Did the applicant's proposed target hardening activity focus on the prevention of and/or protection against the risk of a terrorist attack? *(up to 8 points)*
- **Milestones.** Did the applicant provide specific milestones that present a clear sequence of events that will allow the Investment to reach its objectives during the FY 2010 NSGP period of performance? How well do the milestones collectively present a clear sequence of events that effectively build upon each other and would allow the applicants to reach its intended objectives during the FY 2010 NSGP period of performance? How well did the applicant describe the milestones as well as associated key activities that lead to the milestone event over the FY 2010 NSGP period of performance? *(up to 9 points)*

# Scoring III

- **Project Management.** Has the applicant described, at high-level, the roles and responsibilities of the management team, governance structures, and subject matter expertise required in managing the Investment? How well did the applicant justify the effectiveness of the proposed management team's roles and responsibilities and governance structure to support the implementation of the Investment? *(up to 5 points)*
- **Impact.** Did the applicant provide a brief description of how outcomes will mitigate risk outlined in the Background and Risk sections of the Investment Justification? *(up to 4 points)*

# Other links

- JCRC

<http://www.jcrcny.org/securitygrants>

David Pollock ♦ [pollockd@jcrcny.org](mailto:pollockd@jcrcny.org)

(212) 983-4800 x132

Dov Horwitz ♦ [horwitzd@jcrcny.org](mailto:horwitzd@jcrcny.org)

(212) 983-4800 x129

- United Jewish Communities

– <http://www.ujc.org/homelandsecurity>

– (registration required)



# New York State Office of Homeland Security

**[http://www.security.state.ny.us/Grant-Guidance/grantee\\_forms\\_NFP.htm](http://www.security.state.ny.us/Grant-Guidance/grantee_forms_NFP.htm)**

**Steve Tierney, Program Representative**

**(518) 457- 8966 [stierney@security.state.ny.us](mailto:stierney@security.state.ny.us)**

**Valerie Bloomer, Program Representative**

**(518) 485-8281 [vbloomer@security.state.ny.us](mailto:vbloomer@security.state.ny.us)**

**Thanks to Tara Borst, Director of Technology.**

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